

# An Analysis of Uncaught Exceptions of Java

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## 1 Introduction

### Motivation

- In Java, uncaught exceptions of a method must be specified. the current implementation of Java compiler analyzes uncaught exceptions based types and specifications. However, specifications may be missing or too broad like specifying just as `Exception`.
- In the current Java compiler, Exceptions cannot propagate back into call sequence, unless they are specified. Programmers, however, often miss catching or specifying exceptions.
- Uncaught exceptions need to be analyzed more elaborately. Therefore, we will devise more elaborate uncaught exception analysis. The analysis will be presented using set-based constraints.

### Main Problems

- Dynamic binding of method calls  
Variables of a class may refer to objects of its subclasses, and  
Every method is by default virtual.  
Method binding is done based on the class of the target object.
- So the first problem to be solved is
  - compile-time approximation of classes of objects, which each variable or expression refers to
  - If we consider uncaught exceptions of a method call  $x.f$ , then we first determine or approximate a set  $S$  of classes of objects which the variable  $x$  refers to,

### Our approach

- Uncaught exceptions of a method
  - a collection of uncaught exceptions of all statements in it

<i>Program</i>	::=	( <i>ClassBody</i> )*
<i>ClassBody</i>	::=	ClassId ext Classname ( <i>MethBody</i> )*
<i>MethBody</i>	::=	MethId ( <i>FormalParameter</i> * ) [Throws] { <i>Stmts</i> }
<i>Throws</i>	::=	throws <i>ClassTypeList</i>
<i>Stmts</i>	::=	$\epsilon$   <i>Stmt</i> ; <i>Stmts</i>
<i>Stmt</i>	::=	if <i>Expr Stmt</i> else <i>Stmt</i>
		{ <i>Stmts</i> }
		return [ <i>Expr</i> ]
		<i>Var</i> := <i>Expr</i>
		throw <i>Expr</i>
		try { <i>Stmts</i> } ( catch ( <i>FormalParameter</i> ) { <i>Stmts</i> } ) <sup>+</sup>
		<i>Expr</i>
<i>Expr</i>	::=	<i>Value</i>
		<i>Var</i>
		<i>Expr</i> .MethName( <i>Expr</i> * )
		new <i>ClassName</i>
		new <i>SimpleType</i> ( [ <i>Expr</i> ] <sup>+</sup> ( [] ) <sup>*</sup> )
<i>Var</i>	::=	<i>Name</i>
		<i>Var</i> .VarName
		<i>Var</i> [ <i>Expr</i> ]
		this
<i>Value</i>	::=	<i>PrimValue</i>   null
<i>PrimValue</i>	::=	<i>intValue</i>   <i>charValue</i>   <i>byteValue</i>   ...
<i>VarType</i>	::=	<i>SimpleVarType</i>   <i>ArrayType</i>
<i>SimpleType</i>	::=	<i>PrimType</i>   <i>ClassName</i>   <i>InterfaceName</i>
<i>ArrayType</i>	::=	<i>SimpleType</i> []   <i>ArrayType</i> []
<i>PrimType</i>	::=	bool   char   int   ...

Figure 1: Java<sub>s</sub> program

- in particular, for every method call  $x.f$ , we first approximate a set  $S$  of classes of objects which the variable  $x$  refers to, and for every class  $c \in S$  we have to collect uncaught exceptions from  $c.f$ .
  - we consider caught exceptions in try - catch statement
  - we also consider exception passed as parameters
- presentation by set constraints

## 2 Programs

In this paper, we extend Java<sub>s</sub> [?] so ast to includes exception-related statements.

### 3 Uncaught Exception analysis

#### Concrete Constraints

##### Set variable

- $V_e$  : a set variable for an expression  $e$ , which holds a set of values(incuding object id) that an expression  $e$  represents  
 $o_{id}$  is a newly created object id by *newc*.
- $P_S$  : a set variable for a statement  $S$ , which holds a set of uncaught exceptions from a statement  $S$

#### Abstract Constraints

- Exception is a first-class object in Java, which means exceptions can be assigned, passed or returned like other objects.
- Set variable
  - $X_e$  : a set of classes of objects, that an expression  $e$  represents
  - $P_S$  : a set of uncaught exceptions from a statement  $S$
- For every expression, we need to analyse classes of objects, which each expression represents.

$$X_e \supseteq \begin{cases} \{c\} & \text{if } e \text{ contains } \text{new } c \\ \{X_{c.f} | c \in X_t\} & \text{if } e \text{ contains an instance field access } t.f \\ \{X_{c.f}\} & \text{if } e \text{ contains an class field access } c.f. \end{cases}$$

- for every statement  $S$ , we need to analyse uncaught exceptions

$$P_S \supseteq \begin{cases} X_e & \text{if } S \text{ is } \text{throw } e \\ P_e & \text{if } S \text{ contains an expression } e \\ \cup_{c \in X_e} P_{c.m\_name} & \text{if } S \text{ contains a method call } e.m\_name \\ P_{S_1} \cup P_{S_2} - \{E\} & \text{if } S \text{ is } \text{try } S_1 \text{ catch } (E \ x) \ S_2 \end{cases}$$

- For every method  $c.m\_name$ , construct the set variable

$$P_{c.m\_name} = \cup_{i=1}^n P_{S_i} \text{ if } m\_name(\dots) \ \{ S_1, \dots, S_n \} \text{ is defined in a class } c.$$

<i>New</i>	$\frac{}{\text{new } c : \{V_e \supseteq \{(c, o_{id})\}\}}$
<i>Ass</i>	$\frac{\triangleright e : \mathcal{C}}{\triangleright x = e : \{V_x \supseteq V_e, P_S \supseteq P_e\} \cup \mathcal{C}}$
<i>Ret</i>	$\frac{\triangleright e : \mathcal{C}}{\triangleright \text{return } e : \{V_{c.m\_name} \supseteq V_e, P_S \supseteq P_e\} \cup \mathcal{C}} \text{ if return } e \text{ appears in } c.m\_name.$
<i>Seq</i>	$\frac{\triangleright S_1 : \mathcal{C}_1 \quad S_2 : \mathcal{C}_2}{\triangleright S_1; S_2 : \{P_{S_1; S_2} \supseteq P_1 \cup P_2\} \cup \mathcal{C}_1 \cup \mathcal{C}_2}$
<i>Cond</i>	$\frac{\triangleright e : \mathcal{C}_e \quad S_1 : \mathcal{C}_1 \quad S_2 : \mathcal{C}_2}{\triangleright \text{if } e \text{ } S_1 \text{ else } S_2 : \{P_S \supseteq P_e \cup P_1 \cup P_2\} \cup \mathcal{C}_e \cup \mathcal{C}_1 \cup \mathcal{C}_2}$
<i>InstFieldAcc</i>	$\frac{\triangleright t : \mathcal{C}_t}{\triangleright t.f : \{V_e \supseteq V_{c.f} \mid (c, o_{id}) \in V_t\} \cup \mathcal{C}_t}$
<i>ClassFieldAcc</i>	$\triangleright c.f : \{V_e \supseteq V_{c.f}\}$
<i>throw</i>	$\frac{\triangleright e : \mathcal{C}}{\triangleright \text{throw } e : \{P_S \supseteq V_e \cup P_e\} \cup \mathcal{C}}$
<i>try</i>	$\frac{\triangleright S_1 : \mathcal{C}_1 \quad S_2 : \mathcal{C}_2}{\triangleright \text{try } S_1 \text{ catch}(E \ x) S_2 : \{P_e \supseteq P_1 \cup P_2 - \{E\}, V_x \supseteq P_1\} \cup \mathcal{C}_1 \cup \mathcal{C}_2}$
<i>MethCall</i>	$\frac{\triangleright e_i : \mathcal{C}_i \quad i = 1, \dots, n}{\triangleright e_1.m\_name(e_2, \dots, e_n) : \{V_{x_i} \supseteq \mathcal{C}_i \mid i = 2, \dots, n\} \cup \{V_e \supseteq V_{c.m\_name} \mid c \in V_{e_1}\} \cup \{P_e \supseteq P_{c.m\_name} \mid c \in V_{e_1}\} \cup \mathcal{C}_i \cup \dots \cup \mathcal{C}_n}$
<i>MethBody</i>	$\frac{\triangleright stm : \mathcal{C}}{\triangleright mBody : \{P_{c.m\_name} \supseteq P_{stm}\} \cup \mathcal{C}}$ if $mBody = m\_name(\dots)\{stm\}$ is defined in a class $c$
<i>ClassBody</i>	$\frac{\triangleright mBody_i : \mathcal{C}_i, \quad i = 1, \dots, m}{\triangleright cBody : \mathcal{C}_1 \cup \dots \cup \mathcal{C}_m}$ if a class $C$ is defined as $C \text{ ext } C' \{mBody_1, \dots, mBody_m\}$
<i>Program</i>	$\frac{\triangleright cBody_i : \mathcal{C}_i \quad i = 1, \dots, n}{\triangleright p : \mathcal{C}_1 \cup \dots \cup \mathcal{C}_n} \text{ if a program } p = cBody_1, \dots, cBody_n$

Figure 2: Constructing Concrete Semantics

<i>New</i>	$\overline{\triangleright \text{newc} : \{X_e \supseteq \{c\}\}}$
<i>Ass</i>	$\overline{\triangleright e : \mathcal{C}}$
<i>Ret</i>	$\overline{\triangleright x = e : \{X_x \supseteq X_e, P_S \supseteq P_e\} \cup \mathcal{C}}$
<i>Seq</i>	$\overline{\triangleright e : \mathcal{C}}$
<i>Cond</i>	$\overline{\triangleright \text{return } e : \{X_{c.m\_name} \supseteq X_e, P_S \supseteq P_e\} \cup \mathcal{C}}$ if return $e$ appears in $c.m\_name$ .
<i>InstFieldAcc</i>	$\overline{\triangleright S_1 : \mathcal{C}_1 \quad S_2 : \mathcal{C}_2}$
<i>ClassFieldAcc</i>	$\overline{\triangleright S_1; S_2 : \{P_{S_1; S_2} \supseteq P_1 \cup P_2\} \cup \mathcal{C}_1 \cup \mathcal{C}_2}$
<i>throw</i>	$\overline{\triangleright e : \mathcal{C}_e \quad S_1 : \mathcal{C}_1 \quad S_2 : \mathcal{C}_2}$
<i>try</i>	$\overline{\triangleright \text{if } e \quad S_1 \quad \text{else } S_2 : \{P_S \supseteq P_e \cup P_1 \cup P_2\} \cup \mathcal{C}_e \cup \mathcal{C}_1 \cup \mathcal{C}_2}$
<i>MethCall</i>	$\overline{\triangleright t : \mathcal{C}_t}$
<i>MethBody</i>	$\overline{\triangleright t.f : \{X_e \supseteq X_{c.f}   c \in X_t\} \cup \mathcal{C}_t}$
<i>ClassBody</i>	$\overline{\triangleright c.f : \{X_e \supseteq X_{c.f}\}}$
<i>Program</i>	$\overline{\triangleright e : \mathcal{C}}$
<i>try</i>	$\overline{\triangleright \text{throw } e : \{P_S \supseteq X_e \cup P_e\} \cup \mathcal{C}}$
<i>MethCall</i>	$\overline{\triangleright S_1 : \mathcal{C}_1 \quad S_2 : \mathcal{C}_2}$
<i>MethBody</i>	$\overline{\triangleright \text{try } S_1 \text{ catch}(E x) S_2 : \{P_S \supseteq P_1 \cup P_2 - \{E\}, X_x \supseteq P_1\} \cup \mathcal{C}_1 \cup \mathcal{C}_2}$
<i>ClassBody</i>	$\overline{\triangleright e_i : \mathcal{C}_i, i = 1, \dots, n}$
<i>Program</i>	$\overline{\triangleright e_1.m\_name(e_2, \dots, e_n) : \{X_{x_i} \supseteq \mathcal{C}_i   i = 2, \dots, n\} \cup \{X_e \supseteq X_{c.m\_name}   c \in X_{e_1}\} \cup \{P_e \supseteq P_{c.m\_name}   c \in X_{e_1}\} \cup \mathcal{C}_i \cup \dots \cup \mathcal{C}_n}$
<i>ClassBody</i>	$\text{if } m\_name(Tx_1 : T_1, \dots, x_n : T_n)\{\dots\} \text{ is defined in a class } c$
<i>MethBody</i>	$\overline{\triangleright stm : \mathcal{C}}$
<i>ClassBody</i>	$\overline{\triangleright mBody : \{P_{c.m\_name} \supseteq P_{stm}\} \cup \mathcal{C}}$
<i>Program</i>	$\text{if } mBody = m\_name(\dots)\{stm\} \text{ is defined in a class } c$
<i>ClassBody</i>	$\overline{\triangleright mBody_i : \mathcal{C}_i, i = 1, \dots, m}$
<i>Program</i>	$\overline{\triangleright cBody : \mathcal{C}_1 \cup \dots \cup \mathcal{C}_m}$
<i>Program</i>	$\text{if a class } c \text{ is defined as } c \text{ ext } c' \{mBody_1, \dots, mBody_m\}$
<i>Program</i>	$\overline{\triangleright cBody_i : \mathcal{C}_i, i = 1, \dots, n}$ if a program $p = cBody_1, \dots, cBody_n$ .
<i>Program</i>	$\overline{\triangleright p : \mathcal{C}_1 \cup \dots \cup \mathcal{C}_n}$

Figure 3: Constructing Abstract Constraints

## 4 Related works

Just thinking !! **Abstract Constraints using optional specifications** Every constraint is the same, except

$$\begin{array}{l}
 \text{MethCall} \quad \frac{\triangleright e_i : \mathcal{C}_i \quad i = 1, \dots, n}{\triangleright e_1.m\_name(e_2, \dots, e_n) : \{X_{x_i} \supseteq \mathcal{C}_i \mid i = 1, \dots, n\} \cup \{X_e \supseteq X_{c.m\_name}, P_e \supseteq \{E_1, \dots, E_n\}\} \cup \mathcal{C}_1 \cup \dots \cup \mathcal{C}_n} \\
 \quad \text{if } c \in X_{e_1}, c.m\_name(\dots) \text{ throws } E_1, \dots, E_n\{\dots\}, \text{ and } x_i \text{ are formal parameters of } c.m\_name \\
 \\
 \text{MethCall} \quad \frac{\triangleright e_i : \mathcal{C}_i \quad i = 1, \dots, n}{\triangleright e_1.m\_name(e_2, \dots, e_n) : \{X_{x_i} \supseteq \mathcal{C}_i \mid i = 1, \dots, n\} \cup \{X_e \supseteq X_{c.m\_name} P_e \supseteq P_{c.m\_name}\} \cup \mathcal{C}_1 \cup \dots \cup \mathcal{C}_n} \\
 \quad \text{if } c \in X_{e_1}, c.m\_name(\dots) \{\dots\}, \text{ and } x_i \text{ are formal parameters of } c.m\_name
 \end{array}$$

**The current Java compiler: abstract constraints using types and specifications**

- Assume that every variable and expression are known its type.
- A variable  $X_{c,f}$  is for the type of a variable  $c.f$ , that is, a variable  $f$  in a class  $c$ . Note that this holds a single type.
- $X_{c,f} = \{c'\}$  if  $c.f$  is of class  $c'$ .
- for a method call, it includes specifications of uncaught exceptions in the method.

## 5 Conclision

<i>New</i>	$\frac{\overline{\text{new } c : \{c\}}}{\triangleright e : \mathcal{C}}$
<i>Ass</i>	$\frac{\overline{\triangleright x = e : \{P_S \supseteq P_e\} \cup \mathcal{C}}}{\triangleright e : \mathcal{C}}$
<i>Ret</i>	$\frac{\overline{\triangleright \text{return } e : \{P_S \supseteq P_e\} \cup \mathcal{C}}}{\triangleright S_1 : \mathcal{C}_1 \ S_2 : \mathcal{C}_2}$
<i>Seq</i>	$\frac{\overline{\triangleright S_1; S_2 : \{P_{S_1; S_2} \supseteq P_1 \cup P_2\} \cup \mathcal{C}_1 \cup \mathcal{C}_2}}{\triangleright e : \mathcal{C}_e \ S_1 : \mathcal{C}_1 \ S_2 : \mathcal{C}_2}$
<i>Cond</i>	$\frac{\overline{\triangleright \text{if } e \ S_1 \ \text{else } S_2 : \{P_S \supseteq P_e \cup P_1 \cup P_2\} \cup \mathcal{C}_e \cup \mathcal{C}_1 \cup \mathcal{C}_2}}{\triangleright t : \mathcal{C}_t}$
<i>InstFieldAcc</i>	$\frac{\overline{\triangleright t.f : \{X_e \supseteq X_{c.f}\}}}{\triangleright t.f : \{X_e \supseteq X_{c.f}   c = X_t\} \cup \mathcal{C}_t}$
<i>ClassFieldAcc</i>	$\frac{\overline{\triangleright c.f : \{X_e \supseteq X_{c.f}\}}}{\triangleright e : \mathcal{C}}$
<i>throw</i>	$\frac{\overline{\triangleright \text{throw } e : \{P_S \supseteq X_e \cup P_e\} \cup \mathcal{C}}}{\triangleright S_1 : \mathcal{C}_1 \ S_2 : \mathcal{C}_2}$
<i>try</i>	$\frac{\overline{\triangleright \text{try } S_1 \ \text{catch}(E \ x) \ S_2 : \{P_S \supseteq P_1 \cup P_2 - \{E\}, X_x \supseteq \{E\}\} \cup \mathcal{C}_1 \cup \mathcal{C}_2}}{\triangleright}$
<i>MethCall</i>	$\frac{\overline{\triangleright e_1.m\_name(e_2, \dots, e_n) : \{X_e \supseteq X_{c.m\_name}, P_e \supseteq \{E_1, \dots, E_n\}\} \cup \mathcal{C}_1 \cup \dots \cup \mathcal{C}_n}}{\text{if } X_{e_1} = c \ \text{and } \mathbf{m\_name}(\dots) \ \text{throws } E_1, \dots, E_n \ \{\text{stm}\} \ \text{is defined in } c}$
<i>MethBody</i>	$\frac{\overline{\triangleright \text{stm} : \mathcal{C}}}{\triangleright mBody : \{X_{c.m\_name} \supseteq \{T\}, P_{c.m\_name} \supseteq P_{\text{stm}}\} \cup \mathcal{C}}$ if T m_name(...) ... {stm} is defined in a class c
<i>ClassBody</i>	$\frac{\overline{\triangleright mBody_i : \mathcal{C}_i \ i = 1, \dots, m}}{\triangleright cBody : \mathcal{C}_1 \cup \dots \cup \mathcal{C}_m}$ if a class c is defined as c ext c' {mBody <sub>1</sub> , ..., mBody <sub>m</sub> }
<i>Program</i>	$\frac{\overline{\triangleright cBody_i : \mathcal{C}_i \ i = 1, \dots, n}}{\triangleright p : \mathcal{C}_1 \cup \dots \cup \mathcal{C}_n} \text{ if a program } p = cBody_1, \dots, cBody_n.$

Figure 4: Constructing Abstract Constraints for the current Java compiler