

(Static Analyses for Java)

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- Java Overview
- Why static analyses ?
- Static analyses
 - Class analysis
 - Exception analysis
 - Escape analysis
- Conclusions

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Java overview

- Object oriented
 - classes (single inheritance)
 - object types (interfaces)
 - objects
- C-like syntax
- Static typing
 - type soundness by Eisenbach in ECOOP'97
 - by Nipkow in ACM POPL'98

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Java overview

- Dynamic binding
 - inheritance and overriding
 - class analysis (object type inference)
- Exception handling
 - uncaught exception analysis
- Automatic memory management
 - escape analysis
- Concurrency

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Why Static Analyses for Java

- Class analysis
 - for call graph and fast method dispatch in compiler
- Exception analysis
 - for verification and programming environments
- Escape analysis
 - for efficient memory management and synchronization optimization

Higher-order CFA and Class Analyses

Higher-order Flow Analysis

- Call graphs
 - Many program analyses rely on a call-graph.
 - There is an edge (f,g) if function f calls function g.
 - Call graphs are easy to compute in FORTRAN.
- Not so easy in higher-order languages
 - functional (ML)
 - object-oriented (Java, C++)
 - pointer-based (C)

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Higher-order Flow Analysis

- In a functional language
 - $e_1 e_2$ closure analysis [Shivers88]
- In an object oriented language
 - $e.m()$ class analysis
- In a pointer language
 - $(*p)()$ pointer analysis
- In each case it is unclear which function is called.

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Class Analyses for Java

- The goal is
 - to approximate the classes of the objects, to which an expression refer
- Also gives an approximation of the call graph

Class Analyses for Java

- Domain
 - Sets of class names.
- A set variable $[e]$ for each expression e .
- Set up set-constraints of the form :
$$[e] \supseteq se$$
- Analysis assigns possible classes of e to $[e]$.
 - Solution of the constraints yield the information

Sample Constraints for Class Analyses

Suppose e is each of the following expressions

- $\text{new } C$ | $[e] \supseteq \{C\}$
- $\text{if } e_0 \text{ then } e_1 \text{ else } e_2$ | $[e] \supseteq [e_1] \cup [e_2]$
- $\text{id} = e_1$ | $[\text{id}] \supseteq [e_1]$
- Method application $e_0.m(e_1)$

for each class C in $[e_0]$ with a method $m(x_1) = \text{return } e_m$

$$C \in [e_0] \Rightarrow ([x_1] \supseteq [e_1])$$

$$[e] \supseteq [e_m]$$

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History in Class Analyses

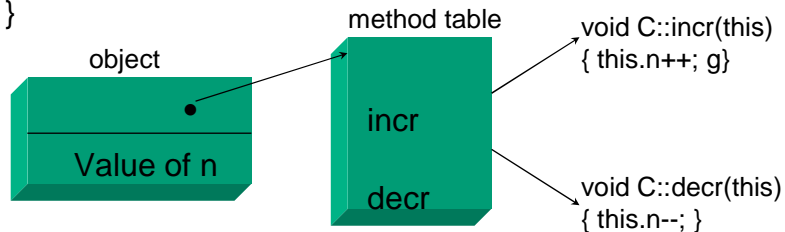
- Constraint resolution in $O(n^3)$ time.
- This analysis was discovered
 - by Palsberg and Schwartzbach in 1991.
 - closely related to closure analysis for functional programs (Jones, Shivers).
- Fast interprocedural class analysis
 - by node(set variable) merging
 - Grove and Chambers in ACM POPL'98

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Objects and Methods in Java

```
class C {  
  int n;  
  void incr() { n++; }  
  void decr() { n--; }  
}
```



- Method invocation:

[[e.m(arg)]] =

object * o = [[e]]; lookup(o→vtable, m)(o, [[arg]])

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Objects and Methods in Java

- Layout of method tables attached to objects
 - based on inheritance hierarchies
- Transformation of method invocations into method lookups + calls.
- We can generate a direct call `c.m` using analysis
 - if that set is a singleton `{c}` or
 - if all elements in that set have the same implementation of method `m`

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Uncaught Exception Analysis

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Exceptions in Java

- Every exception is declared as
 - a subclass of “Exception” class

- Throw exceptions

`throw e`

- Exception handling

`try { ... } catch (E x) { ... }`

- **Specify uncaught exceptions** in method definition

`m(...) throws ... { ... }`

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Uncaught Exception Analysis in JDK

- Intraprocedural analysis
 - Based on programmer's specifications.
- Not elaborate enough to
 - suggest for specialized handling nor
 - remove unnecessary handlers

Uncaught Exception Analysis

- We need an interprocedural analysis to
 - estimate Java program's exception flows
 - independently of the programmer's specs.
- Approximate all possible uncaught exceptions
 - for every expression and every method
- Exception analysis **after** class analysis

Deriving Set Constraints

- Domain
 - Sets of exception class names.
- A set variable P_e for every expression e
 - Deriving set constraints of the form :

$$P_e \supseteq se$$

- Analysis assigns classes of possible uncaught exceptions of e to P_e

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Deriving Set Constraints

Suppose e is each of the following expressions

- $\text{id} = e_1$ | $P_e \supseteq P_{e_1}$
- $\text{if } e_0 \text{ then } e_1 \text{ else } e_2$ | $P_e \supseteq P_{e_0} \cup P_{e_1} \cup P_{e_2}$
- $\text{throw } e_1$ | $P_e \supseteq [e_1] \cup P_{e_1}$
- $\text{try } e_0 \text{ catch } (c_1 x_1) e_1$ | $P_e \supseteq (P_{e_0} - \{c_1\}^*) \cup P_{e_1}$
- Method invocation $e_0.m(e_1)$

$$- P_e \supseteq P_{e_0} \cup P_{e_1}$$

- for each class C in $[e_0]$ with a method $m(x_1) = e_m$

$$C \in [e_0] \Rightarrow P_e \supseteq P_{em}$$

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Method-level Exception Analysis

- Cost-Effective?
 - Too Many Set Variables
- Observations
 - exceptions are sparse objects
 - exceptions are usually explicit
 - methods are usually explicit

Set Variables for Method-level Analysis

- P_f for each method f
 - class names of uncaught exceptions during the call to f
- P_g for try expressions e_g in
 - `try e_g catch (c_1 x_1) e_1`
- Assume that $[e]$ represents
 - classes that are "available" at an expression e

Method-level Set Constraints

Suppose each expression is in a method f

- $\text{id} = e_1$ |
- $\text{if } e_0 \text{ then } e_1 \text{ else } e_2$ |
- $\text{throw } e_1$ | $P_f \supseteq [e_1] \cap \text{ExnClasses}$
- $\text{try } e_g \text{ catch } (c_1 \ x_1) e_1$ | $P_f \supseteq P_g - \{c_1\}^*$
- Method invocation $e_0.m(e_1)$
 - for each class C in $[e_0]$ with a method $m(x_1) = e_m$

$$C \in [e_0] \Rightarrow P_f \supseteq P_{c.m}$$

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Exception Analyses for Java

- Exception analysis for Java
 - by Yi and Chang in ECOOP'99 Workshop
 - Expression-level and Method-level
- We are currently devising
 - a general framework for method-level analysis
- Jex
 - A tool for a view of the exception flow
 - by Robillard and Murphy in 1999

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Applications of Exception Analysis

- A kind of program verification
 - Provide programmers information on all possible uncaught exceptions
- Can be incorporated in Java programming environment

Escape Analysis

Escape Analysis

- Escape analysis is basically
 - lifetime analysis of objects
- An object **escapes** a method if it is
 - passed as a parameter
 - returned
- Basic idea of applications:
 - Basically all objects are allocated in a heap.
 - If an object doesn't escape a method(or region), it can be allocated on stack

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Escape Graph for Escape Analysis

- inside node
 - object created inside the currently analyzed region and accessed via inside edges.
- outside node
 - object created outside the currently analyzed region or accessed via outside edges.
- inside edge
 - references created inside the current region
- outside edge
 - references created outside the current region

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Example

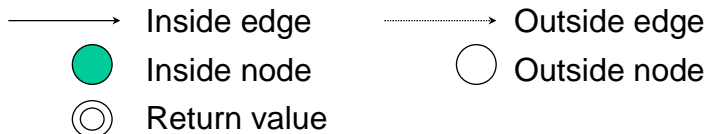
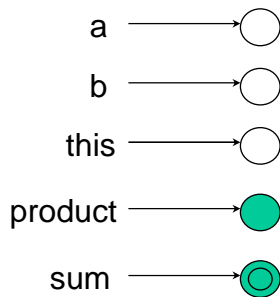
```
Class complex {
  double x, y;
  complex (double a, double b) { x = a; y = b;}
  complex multiply(complex a) {
    complex product = new complex(x*a.x - y*a.y, x*a.y+y*a.x);
    return product;
  }
  complex add(complex a) {
    complex sum = new complex(x+a.x,y+a.y);
    return sum;
  }
  complex multiplyAdd(complex a, complex b) {
    complex product = a.multiply(b);
    complex sum = this.add(product);
    return sum;
  }
}
```

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Example

Analysis Result for multiplyAdd



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Escape Analysis

- Intraprocedural analysis
 - Construction of escape graph following the control-flow
- Interprocedural analysis
 - For every method invocation cite, mapping between caller and callee.
 - To simulate parameter passing and returning

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Escape Analysis

- OOPSLA'99
 - Compositional Pointer and Escape Analysis for Java Programs by J. Whaley and M. Rinard
 - Escape analysis for object-oriented languages: application to Java by B Blanchet
 - Escape analysis for Java by J.D. Choi et al.

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Conclusions

- We surveyed three major analyses for Java
 - class analysis, exception analysis, escape analysis
- Further research topics
 - generalize method-level analysis
 - static analysis in connection with verification
 - analyses of Java bytecode