

# Program Analyses for Memory

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## My Current State

- Developed an algorithm to replace allocations by memory reuse into ML-like programs.
  - Space and "runtime" improvement are satisfactory.
  - Not suitable for imperative languages.
  - Our improvement in ML is not expected in Java.
- Interested in automatic loop invariant inference for the separation logic.
  - Precise heap analyzer is necessary even with destructive update.
  - Shape analysis [SaReWi96,97,99,02] is precise but weak for finding alias relation and expensive.

# Program Analyses for Memory

- May-alias analysis & points-to analysis.
- Shape analysis.
- Liveness analysis & escape analysis.
  
- Linear type system for heap values.
- Region-based type system.
- Alias type system.

# Goals

- Program correctness such as
  - no null/dangling-pointer access, and
  - resource invariant preservation.
- Performance improvement by
  - early deallocation and lazy allocation,
  - less allocation, and
  - locality improvement.
- Help to other program analyses.

## Why Difficult?

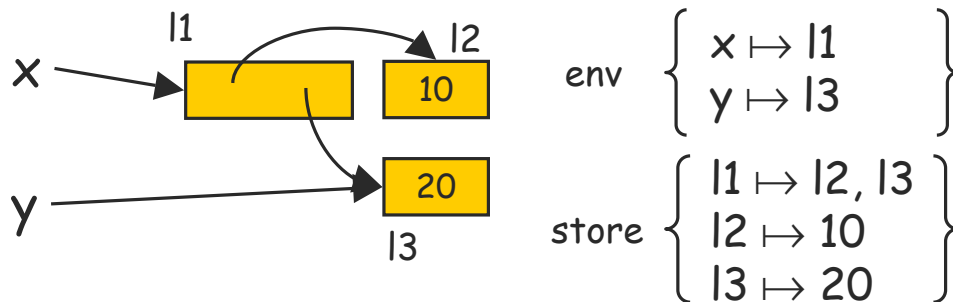
- The number of heap cells is possibly infinite.
- The length of recursive data structure is possibly infinite.
- Data structures can be shared irregularly.
- Destructive update usually requires high precision of analyses.
- Pointer arithmetic induces unexpected behaviors.

## Contents

- Semantics-based program analyses for memory
  - Store-based model
    - shape analysis.
  - Storeless model
    - alias analysis, sharing analysis, escape analysis.

# Store-Based Model

- The standard semantics for heap relies on environments, memory locations, and stores.



# Semantics

$$\begin{aligned} \rho \in \text{Env} &= \text{Var} \rightarrow \text{Loc} \\ \sigma \in \text{Store} &= \text{Loc} \rightarrow \text{Value} \\ \text{Value} &= \text{Int} \cup (\text{Field} \rightarrow \text{Loc}) \end{aligned}$$

$$\begin{aligned} \llbracket i \rrbracket \rho \sigma &= (l, \sigma \{i/l\}) \text{ new } l \\ \llbracket x \rrbracket \rho \sigma &= (\rho(x), \sigma) \\ \llbracket (x_1, \dots, x_n) \rrbracket \rho \sigma &= (l, \sigma \{\{i \mapsto \rho(x_i)\} / l\}) \text{ new } l \\ \llbracket x.i \rrbracket \rho \sigma &= (\sigma(\rho(x))(i), \sigma) \\ \llbracket \text{let } x=e_1 \text{ in } e_2 \rrbracket \rho \sigma &= \text{let } (l, \sigma') = \llbracket e_1 \rrbracket \rho \sigma \\ &\quad \text{in } \llbracket e_2 \rrbracket (\rho \{l/x\}) \sigma' \end{aligned}$$

# Abstract Semantics

- Collecting semantics:

$$Lab \rightarrow \mathcal{P}(Env \times Store)$$

$$Lab \rightarrow \mathcal{P}(Loc \times Store)$$

- Component-wise abstraction [Deu90]:

$$Env^\# = Var^\# \rightarrow \mathcal{P}(Loc^\#)$$

$$Store^\# = Loc^\# \rightarrow Value^\#$$

$$Value^\# = \mathcal{P}(Int)^\# \times (Field^\# \rightarrow \mathcal{P}(Loc^\#))$$

- How to abstract location?

# Abstraction of Location

- Location = State in its allocation [Deu90]

$$\alpha_{Loc} = \alpha_{\times}(\alpha_{Lab}, \alpha'_{Env}, \alpha'_{Store})$$

- For instance,

- allocation site [JoMu82,RuMu88,Mo87,ChWeZa90]
- context-sensitive analysis: additional continuation (or call sequence) in the state [Deu90]

# Abstraction by Allocation Site

```

fun gen n =
  if n=0 then []
  else n::gen(n-1)L
val x = gen 5
val y = gen 6
  
```

$x\% \# y\% ?$   
 $x\% \text{ acyclic} ?$

**NO**

$$\left\{ \begin{array}{l} \mathbf{x} \mapsto \{\mathbf{L}\} \\ \mathbf{y} \mapsto \{\mathbf{L}\} \end{array} \right\} \quad \left\{ \mathbf{L} \mapsto \{\mathbf{L}\} \right\}$$

# Abstraction by Allocation Site and k-Length Call String

```

fun gen n =
  if n=0 then []
  else n::gen(n-1)LM
val x = gen 5N
val y = gen 6O
  
```

$x\% \# y\% ?$   
 $x\% \text{ acyclic} ?$

**NO**

length 2; recent call-sites

$$\left\{ \begin{array}{l} \mathbf{x} \mapsto \{\mathbf{NL}\} \\ \mathbf{y} \mapsto \{\mathbf{OL}\} \end{array} \right\}$$

$$\left\{ \begin{array}{l} \mathbf{NL} \mapsto \{\mathbf{NML}\} \\ \mathbf{NML} \mapsto \{\mathbf{MML}\} \\ \mathbf{MML} \mapsto \{\mathbf{MML}\} \\ \mathbf{OL} \mapsto \{\mathbf{OML}\} \\ \mathbf{OML} \mapsto \{\mathbf{MML}\} \end{array} \right\}$$

# Abstraction by Allocation Site and k-Length Call String

```

fun gen n =
  if n=0 then []
  else n::gen(n-1)
val x = gen 5
val y = gen 6

```

$x\% \# y\% ?$  YES  
 $x\% \text{ acyclic?}$  NO

length 1, first call-site

$$\left\{ \begin{array}{l} \mathbf{x} \mapsto \{\text{NL}\} \\ \mathbf{y} \mapsto \{\text{OL}\} \end{array} \right\}$$

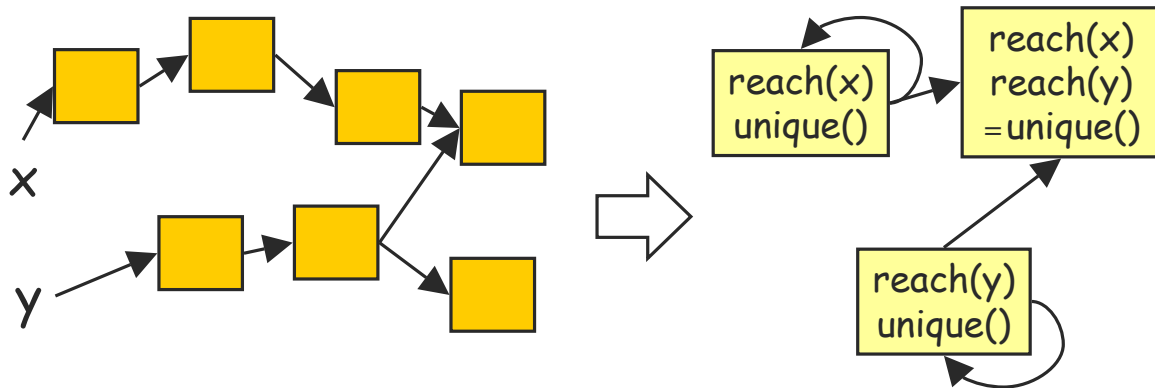
$$\left\{ \begin{array}{l} \text{NL} \mapsto \{\text{NL}\} \\ \text{OL} \mapsto \{\text{OL}\} \end{array} \right\}$$

# Another Abstraction

- Interesting properties of heap cells are not always related to their locations (=allocation context).
  - pointed-to-by(x).
  - reached-from(x).
  - unique(): one or zero in-edge from the heap.
- Abstraction on (a set of) environment and store pairs:
  - graph-based or property-based abstraction.

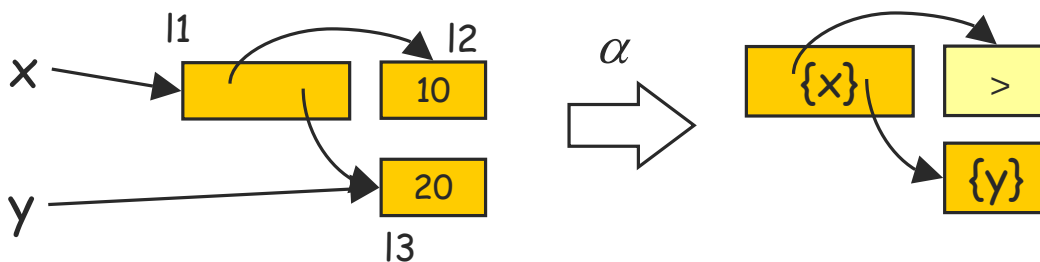
# [ Shape Analysis ]

- Abstracts a set of locations by their (spatial) properties, instead of allocation context [SaReWi96,97,99,02].



# [ Shape Analysis [SaReWi96,97] ]

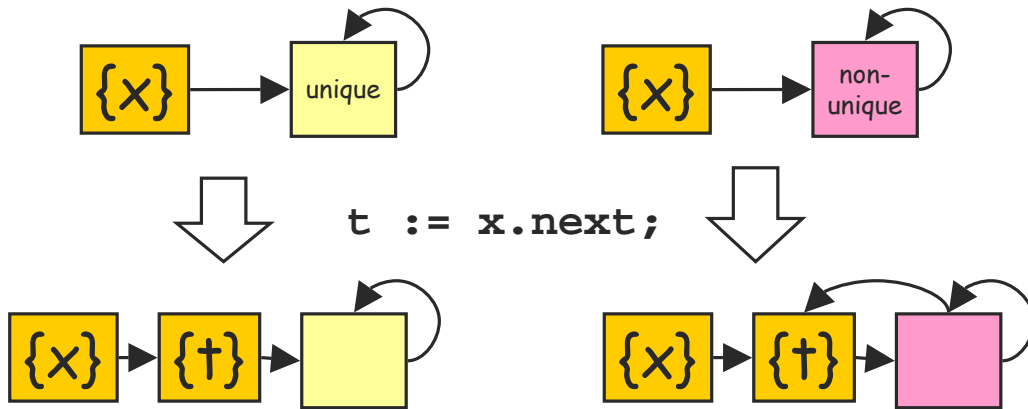
- Group heap cells by a set of variables that points to themselves & uniqueness.





# Focus (Materialization)

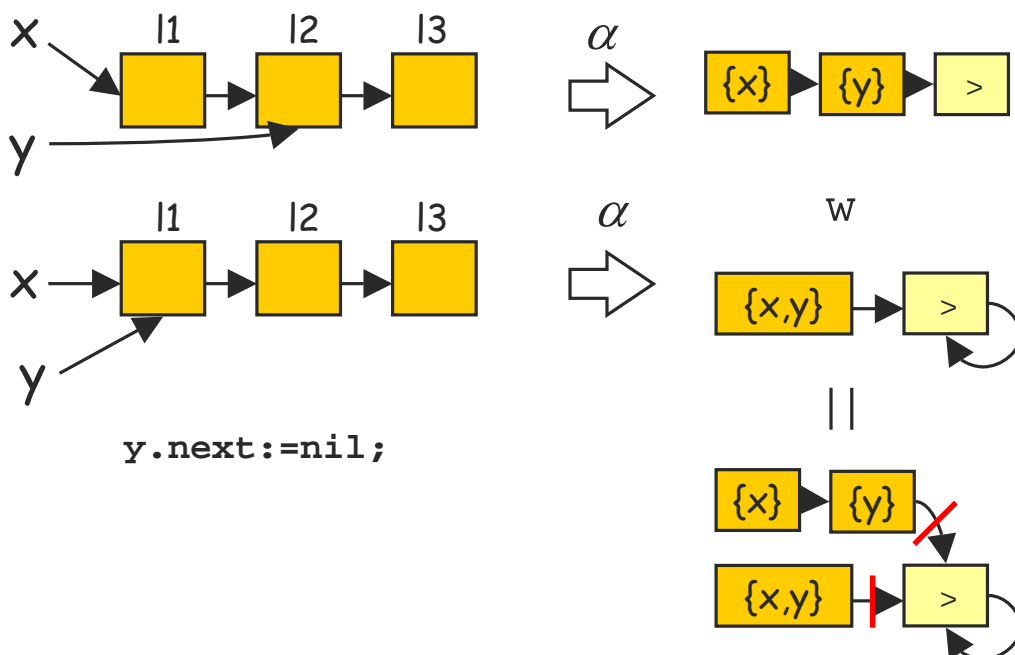
- Concretize shape-graph when necessary.



- Uniqueness information contributes on better materialization.

# Strong Update (Nullification)

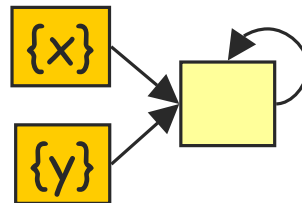
`if (...) { y:=x; } else { y:=x.next; }`



# Example: Shape Analysis

```
fun gen n =  
  let t = ref [] in  
    for i=1 to n do  
      t:=i::!t  
    end;  
    !t  
  end  
val x = gen 5  
val y = gen 6
```

$x\% \# y\%$ ? Yes  
 $x\% \text{ acyclic?}$  Yes



# Summary: Store-Based Model

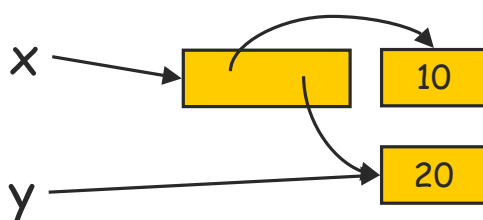
- A standard model to intuitively reason about the heap.
- Location-based abstraction:
  - it can judge that two heap cells are separated.
  - allocation site and call string do not seem to be sufficient.
- Graph-based abstraction:
  - good to judge the shape of the heap.
  - precise for destructive update.
  - not scalable.

# Alias Analyses

- Problem:  $*x$  and  $*y$  have the same location?
  - It is not necessary to know what locations  $x$  and  $y$  may have.
  - It is not necessary to know how the heap is structured.
- How about semantics to directly expose the alias relation?

# Storeless Model [Jo81,De92,94]

- Access path
  - a sequence of variable names, record field selectors, and so on.
- Alias relations
  - a set of pairs of **aliased** access paths.



tree  $\left\{ \begin{array}{l} x.1 \mapsto 10 \\ x.2 \mapsto 20 \\ y \mapsto 20 \\ x \mapsto \Omega \end{array} \right\}$

aliases  $\{ (x.2, y) \}$

# Semantics

$$\begin{aligned} t \in Tree &= \Sigma^* \rightarrow Int \cup \{\Omega\} \\ \alpha, \equiv \in Aliases &= \mathcal{P}(\Sigma^* \times \Sigma^*) \\ \Sigma &= Var \cup Field \end{aligned}$$

$$\begin{aligned} \text{copy}(t, s_1, s_2) &= \{v/s_2.s \mid v/s_1.s \in t\} \\ \text{rem}(\alpha, s) &= \{(s_1, s_2) \in \alpha \mid s_1, s_2 \notin \{s'.s'' \mid (s, s') \in \alpha, |s''| > 0\}\} \end{aligned}$$

$$\begin{aligned} \llbracket i \rrbracket t \alpha &= (\{i/\iota\}, \alpha) \\ \llbracket x \rrbracket t \alpha &= (\text{copy}(t, x, \iota), \alpha \cup \{(\iota, x)\}) \\ \llbracket (x_1, \dots, x_n) \rrbracket t \alpha &= (\{\Omega/\iota\} \cup \bigcup_i \text{copy}(t, x_i, \iota.i), \alpha \cup \{(\iota.i, x_i)\}) \\ \llbracket x.i \rrbracket t \alpha &= (\text{copy}(t, x.i, \iota), \alpha \cup \{(x.i, \iota)\}) \\ \llbracket \text{let } x=e_1 \text{ in } e_2 \rrbracket t \alpha &= \text{let } (t_1, \alpha_1) = \llbracket e_1 \rrbracket t \alpha \\ &\quad (t_2, \alpha_2) = \llbracket e_2 \rrbracket (t \cup t_1[x/\iota]) (\alpha_1[x/\iota]) \\ &\quad \text{in } (t_2, \text{rem}(\alpha_2, x)) \end{aligned}$$

# Abstract Semantics

- Collecting semantics:

$$Lab \rightarrow \mathcal{P}(Tree \times Aliases)$$

- Instances:

- may-alias analyses: k-limited approach.

$$\forall s'_1, s'_2. s_1 s'_1 \equiv s_2 s'_2 \text{ where } |s_i| \leq k$$

- Deutsch's may-alias analyses [92,94]:

$$x.tl^i.hd \equiv x.tl^j.hd.tl^k \text{ where } j = i + k \text{ and } k \geq 1$$

# Example: May-Alias Analysis

```

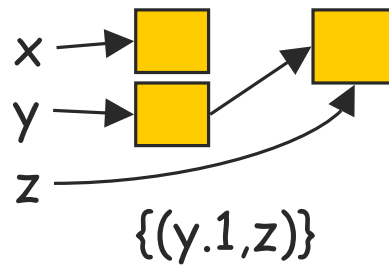
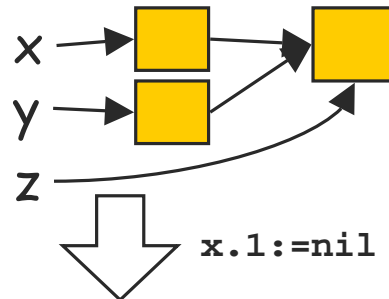
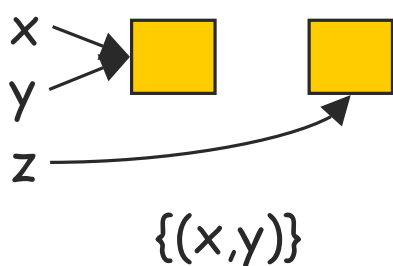
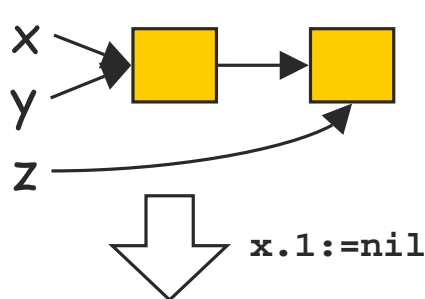
fun gen n =
  if n=0 then []
  else n::gen(n-1)
val x = gen 5
val y = gen 6
  
```

$x\% \# y\%$ ? **YES**  
 $x\% \text{ acyclic?}$

>

# Destructive Update

$\{(x,y), (x.1,z), (y.1,z)\} \sqsubseteq \{(x.1,y.1), (x.1,z), (y.1,z)\}$



$\not\sqsubseteq$

## Instance: Escape Analysis

- Compute the alias relation between (free) variables and the result value.

```
let x = (1, 2, (4, 5)) in  
let y = (7, x) in  
y.2.3
```

$$\left\{ \begin{array}{l} (y.2, x) \\ (y.2.3, l) \\ (x.3, l) \end{array} \right\}$$
$$\left\{ \begin{array}{l} x \mapsto \{3\} \\ y \mapsto \{2.3\} \end{array} \right\}$$

## Blanchet's Escape Analysis

- More abstraction on access paths by using type information.

```
let x = (1, 2, (4, 5)) in  
let y = (7, x) in  
y.2.3
```

$$\left\{ \begin{array}{l} x \mapsto 1 \\ y \mapsto 2 \end{array} \right\}$$

- Experiments
  - analysis time: 5~37% of compile time.
  - heap size decrease: 0~99%
  - runtime: -9%~23%

## Summary: Storeless Model

- An alternative to reason about the heap.
- A model to directly expose the alias relation.
  - Precise may-alias analysis.
  - Cost-effective Blanchet's escape analysis.
- Compositional.
  
- Difficult to precisely handle destructive update.

## Summary & Discussion

- Two semantics for memory.
  - Store-based (graph-based) model
    - good for the heap shape.
    - possible to precisely handle destructive update.
    - shape analysis.
  - Storeless model
    - good for precise alias relation.
    - difficult to precisely handle destructive update.
    - may-alias analysis, escape analysis.

## References (1/2)

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  - On determining lifetime and aliasing of dynamically allocated data in higher-order functional specification, POPL 1990
  - A storeless model of aliasing and its abstractions using finite representation of right-regular equivalence relations, IEEE ICCL 1992
  - Interprocedural may-alias analysis for pointer: beyond k-limiting, PLDI 1994
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- Bruno Blanchet
  - Escape analysis for Java(TM): theory and practice. TOPLAS 2003.
  - Escape analysis for object oriented languages: application to Java(TM), OOPSLA 1999
  - Escape analysis: correctness proof, implementation and experimental results, POPL 1998